

## Application Checklist

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**Note:** Only programs that can provide all of the below information are eligible for UWLC funding.

*If you are submitting a funding request on behalf of a coalition or collaborative, it is not required to submit organizational information on the fiscal agent. We will accept the Lead agency or "backbone agency" information, but United Way retains the right to request information on the fiscal agent if questions arise.*

### Organizational Requirements

- You must be a registered non-profit organization or using a 501(c)3 as a Fiscal Agent if applying as a collaborative.
- The organization has a clearly stated human services mission which addresses at least one of UWLC strategic priorities (*Basic Needs, Economic Mobility, Education*).
- The program being submitted largely services at-risk or underserved populations or those negatively affected by racial disparities.
- The organization provides services to persons residing in Beaufort and/or Jasper Counties and has the ability to access/provide all data, including specific program budgets by county.
- The organization is incorporated.
- The organization maintains current registration as a Charitable Organization with the South Carolina Secretary of State or has a current registration exemption from the South Carolina Secretary of State. *You will be required to upload your CURRENT registration letter or letter of exemption from the South Carolina Secretary of State. If you have not received your updated letter, please provide your most recent confirmation letter, along with documentation the most recent information is under review by the Secretary of State's office. Your CURRENT registration will then be required with the Grantee memorandum of Agreement (GMOA)*
- You will be required to upload a copy of your most recent 990/990EZ. *Make sure the document you upload is a copy of the ACTUAL 990/990EZ your organization submitted, including signature and date at bottom.*
- You will be required to upload a copy of your most recent Board approved Balance Sheet.
- You will be required to upload a copy of your Statement of Financial Activity/ Profit & Loss Statement. *This document must contain actual year-to-date financial information compared to budgeted year-to-date information.*
- The organization has two (2) years of financial audits or Generally Accepted Financial Report documents as outlined below. Financial reports/reviews, and audits provided MUST be the most recent and within the last 12 months. All organizations that are audited must be able to include a complete copy of the Management Letter:
  - ***Gross annual revenue up to \$300,000: Audit committee assigned by the governing board to issue a Standard yearly financial report and signed by at least three members of the agency's board.***

- **Gross annual revenue of \$300,001 to \$750,000:** Independent Certified Public Accountant to issue a review that conforms to generally accepted accounting practices for voluntary health and welfare organizations.
  - **Gross annual revenue of \$750,001 and above:** Independent Certified Public Accountant to conduct an audit that conforms to generally accepted accounting practices for non-profit voluntary health and welfare organizations.
- The organization's facilities meet minimum health, fire, and safety codes and present no architectural barriers to potential clients (*seek to comply with the Americans with Disabilities Act of 1990 (ADA), as amended*).

### **Board of Directors Requirements**

- The organization MUST be governed by a local volunteer Board of Directors that exercises control over financial matters, personnel, and policy decisions of the organization. *Your Board list must be uploaded in the application form and include name, addresses, phone numbers, email, position title, and terms.*
- The organization's board oversees the fiscal affairs of the organization, including the development and adoption of an annual budget. The board also regularly monitors income and expenditures, the organization's overhead percentage, and fully discloses all assets and liabilities.
- The organization's board meets at least four times per year, operates with a quorum, records minutes of all meetings, and has them available upon request.
- The organization's board is responsible for the employment and annual performance evaluation of the Executive Director or President/CEO.

### **Program Requirements**

- The organization is able to provide a detailed program budget. *United Way funds at the program level and therefore requires program budgets, using a standardized template, to be uploaded during the application phase. Budgets provided in other formats will not be accepted or reviewed.*
- If the funding request exceeds 30% of the program budget, a sustainability plan will need to be provided during the application phase detailing how program sustainability will be achieved by the end of the funding cycle.
- Each program has an operational (*annual*) plan with quantifiable objectives that specify the results to be achieved during a defined period of time.
- Each program has a system in place to measure progress on achieving results (*meeting objectives*). *You will be required to upload two qualitative stories about how your program has improved the lives of your program participants.*
- The program being submitted has outcomes measurement tracking based on the common goals, outcomes, and key performance indicators established by the community. A copy of these can be found on our website at [www.uwlowcountry.org](http://www.uwlowcountry.org)
- Each program has an appropriate client management system for tracking and assessing client-level (*individual*) improvements based on program interventions or services. If applying for Basic Needs funding, your

organization must use the CharityTracker system, which is provided free of charge by United Way. *Please reach out to Amber Hewitt at (843) 379-3518.*

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## Programs Selected for Funding

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**Note:** *If the volunteers select your program for funding, you will be required to provide the following documentation, along with your signed Grantee Memorandum of Agreement (GMOA):*

- You must be a registered non-profit organization or using a 501(c)3 as a Fiscal Agent if applying as a collaborative. *You will be required to provide your Federal Tax ID Documentation.*
- Written strategic plan, created within the last three years. If you are NEW to funding, or if your strategic plan has recently been updated, it will be required to provide a copy.
- The organization is required to have appropriate insurance coverage (*examples: property, general liability, professional liability, fidelity bond, directors' and officers' liability and vehicle*). *You will be required to upload proof of insurance coverage*
  - All agencies who receive funding will be required to maintain General Liability insurance and Directors' and Officers' insurance and are further required to name the UWLC as an additional insured on their General Liability Insurance. Proof of this coverage will be required prior to UWLC releasing funds.
- The organization must be in compliance with the Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act of 2001 (*USA PATRIOT Act*) and other counter terrorism laws. *A blank template of the Counterterrorism compliance form will be provided to you along with your GMOA.*
- Written bylaws, which are reviewed at a minimum of every five years. If you are NEW to funding, or if your bylaws have recently been updated or changed, it will be required to provide a copy of your official bylaws, which are signed and dated. *The uploaded copy MUST have the following information highlighted for easy review:*
  - ✓ *Last reviewed date (minimum 5 years)*
  - ✓ *Where it indicates terms for members*
  - ✓ *How many times the Board is required to meet (must meet at least quarterly)*
  - ✓ *What constitutes a quorum required for vote*